

Set No. _____
Contractor _____

PRE-QUALIFICATION STATEMENT

**CITY OF PORTSMOUTH
NEW HAMPSHIRE**

FOR

**LINCOLN AREA SEWER SEPARATION
CONTRACT 3B
AND
CASS STREET AREA SEWER IMPROVEMENTS**

January 6, 2012



Underwood Engineers, Inc.
Portsmouth, New Hampshire
FILE NO. 1565 (Cass Street)

**ADVERTISEMENT FOR
PRE-QUALIFICATION OF CONTRACTORS
LINCOLN AREA SEWER SEPARATION/CASS STREET AREA SEWER IMPROVEMENTS
CITY OF PORTSMOUTH, NEW HAMPSHIRE**

Separate sealed PREQUALIFICATION STATEMENTS for the construction of: Lincoln Area Sewer Separation Contract #3B/Cass Street Area Sewer Improvements will be received by the City of Portsmouth at the Purchasing Department until **3:00 P.M.** (Local Time) on January 26, 2012. The projects will be bid separately and the work includes construction of sanitary sewers, storm sewers, water mains and road improvements. Project locations are as follows: Lincoln Street Area #3B, approximate construction cost \$5.2M: Miller Ave., Rockland St. Broad St., Highland St., Merrimack St., Richards Ave. Cass Street Area Sewer Improvements, approximate construction cost \$2.9M: Cass St., Lovell St., Madison St., Albany St., Chevrolet Ave., Malt House Exchange, Friend St. Construction is expected to start in the Spring of 2012. Projects may be funded in part by the NHDES State Revolving Loan Fund. State and Federal provisions may apply. The City will begin the bidding process following completion of the prequalification period. To be eligible to submit bid proposals, all individuals, firms, partnerships or corporations interested in bidding **MUST BE PRE-QUALIFIED**. Only prequalified contractors will be invited to bid. The Owner reserves the right to reject any or all prequalification statements, to accept any prequalification statement, to waive any informality on statements received, and to take any action that it may deem to be in the best interest of the Owner. Contractors prequalified for the Lincoln Area Sewer Separation Project Contract #3A are not required to submit a complete statement but must provide an information update by January 26, 2012 to remain prequalified (obtain form from the City). The City may elect to prequalify contractors for individual projects or both depending on what is in the best interest of the City. The award of these projects is contingent on the City securing funding. Copies of the Prequalification Statements may be obtained from the City Purchasing Department, City Hall, 1 Junkins Avenue, Portsmouth, New Hampshire 03801-4012 (603-610-7227). There is no cost for the Prequalification Statement. All requests for mailed documents will be shipped by regular UPS ground. The Prequalification Statement may be examined at the following locations: Office of Underwood Engineers, Inc. - 25 Vaughan Mall, Unit 1, Portsmouth, NH 03801 Office of Public Works – Pevery Hill Road, Portsmouth, NH 03801; Purchasing Department – 1 Junkins Avenue, Portsmouth, NH 03801; Office of Construction Summary of NH – 734 Chestnut St., Manchester, NH 03104; Office of Associated General Contractors of NH – 48 Grandview Road, Bow, NH 03304; Office of Dodge Reports – 880 2nd Street, Manchester, NH 03102; Neither the Owner nor the Engineer will be responsible for full or partial sets of the statements obtained from any source. All costs associated with the preparation of the prequalification statement and bid proposals are the responsibility of the Contractor. **Note:** Prequalification statements must be submitted in sealed envelopes addressed to **Purchasing Department**, City Hall, 1 Junkins Avenue, Portsmouth, NH 03801.

**City of Portsmouth, New Hampshire
Purchasing Department
1 Junkins Avenue
Portsmouth, NH 03801**

**PRE-QUALIFICATION STATEMENT
Lincoln Avenue Area Sewer Separation Contract #3B/
Cass Street Area Sewer Improvements**

CONFIDENTIAL

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(Signed and notarized, submit with application)

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PART 1– STATEMENT OF QUALIFICATIONS

1.1 GENERAL INFORMATION

Date: _____

Contractor Name: _____

Contact Person: _____

Street: _____ City: _____

State: _____ Zip: _____ Telephone: _____ Fax: _____

No proposal will be accepted by a prospective bidder who is not pre-qualified. A Contractor shall not be considered pre-qualified until a complete Pre-Qualification Statement has been filed with the City and the City has identified the Contractor as pre-qualified. Contractors previously pre-qualified for the Lincoln Area Sewer Separation Contract #3A will not be required to submit a new complete statement, but must provide an information update (see Part 4). The City will be bidding these projects separately. The City may elect to prequalify contractors for both or one or the other, depending on what is in the best interest of the City.

DUTY TO UPDATE AND SUPPLEMENT: Should any changes occur which substantially alters the data contained herein, the above named Contractor shall immediately submit to the City a revised Pre-Qualification Statement detailing the changes and the Contractor's current qualifications.

RESERVATION OF RIGHTS

The City of Portsmouth reserves the right not to proceed with bidding the projects. If the City bids the projects, the City reserves the following additional rights:

- (1) to reject any and all bids;
- (2) to reject any bid where, in the opinion of the City, Contractor's circumstances have materially changed and such changes affect the qualifications or responsibility of the Bidder;
- (3) to require additional Contractor qualifications in any bid documents;
- (4) to waive technical or legal deficiencies; and
- (5) to accept any bid that it may deem to be in the best interest of the City.
- (6) The City reserves the right to waive minor irregularities in the information contained in the pre-qualification application submitted, to make all final determinations, and to determine if pre-qualification will apply to future public works project. Firms not pre-qualified for this project will not be allowed to submit bid proposals.

SUBMISSION OF PREQUALIFICATION

Pre-qualification statements must be submitted in sealed envelopes addressed to: Purchasing Department, City Hall, 1 Junkins Avenue, Portsmouth, NH 03801. The words "PRE-QUALIFICATION–LINCOLN AVENUE AREA SEWER SEPARATION CONTRACT #3B/CASS STREET SEWER IMPROVEMENTS" must be clearly and legibly marked on the outside of the envelope. Prequalification Statements must be submitted by: January 26, 2012 at 3:00 P.M. (local time). Pre-qualified firms will be notified when the Bid/Contract Documents for the project will be available.

1.2 THRESHOLD REQUIREMENTS OF QUALIFICATIONS

A. Contractor is registered with the Secretary of the State to do business in New Hampshire.

Yes No

B. Contractor has a liability insurance policy with a policy limit of at least \$2,000,000 per occurrence and \$2,000,000 aggregate (or can provide for project). The limits may be met with an excess policy.

Yes No

C. Contractor has current workers' compensation insurance policy as required by NH Administrative Rule RSA-281.A2.VIII.a or is legally self-insured pursuant to RSA-281.A2.IX (paragraph 1).

Yes No Contractor is exempt from this requirement, because it has no employees

D. During review of the pre-qualification statement, the City reserves the right to request a financial statement from the contractor (audited, preferred, if available). In the event financial statements are requested and not provided, Contractor will be considered not meeting the threshold requirements and will be disqualified.

E. Have you attached a notarized statement from an admitted surety insurer authorized to issue bonds in the State of New Hampshire, which states: (a) that your current bonding capacity is sufficient for the project; (b) your current available bonding capacity?

Yes No

NOTE: Notarized statement must be from the surety company, not an agent or broker.

F. Within the last five years, has a surety firm completed a contract on your behalf, or paid for completion because your firm was in default and terminated by the project owner?

Yes No

G. At any time during the last five years, has your firm, or any of its owners or officers been convicted of a crime involving the awarding of a contract for a construction project, or the bidding or performance of a construction contract?

Yes No

1.3 ORGANIZATION HISTORY

- 1. Contractor Name _____
- 2. Principal/Permanent Address _____

Local Address (if applicable) _____

- 3. How many years has Contractor been engaged in the contracting business under your present firm name? _____
- 4. Not Used.

5. Please identify your agent for service of process:

6. How many years of experience in the following areas of construction:

Sewer

- (a) As a General Contractor: _____
- (b) As a Sub-Contractor: _____

Water

- (a) As a General Contractor: _____
- (b) As a Sub-Contractor: _____

Roadway Reconstruction

- (a) As a General Contractor: _____
- (b) As a Sub-Contractor: _____

Underground Utilities (Elect., Tel., Cable)

- (a) As a General Contractor: _____
- (b) As a Sub-Contractor: _____

7. a. **If a corporation, answer this:**

When incorporated _____

In what State? _____

President's name: _____

Vice President's name: _____

Secretary's or Clerk's name: _____

Treasurer's name: _____

7.b. If a partnership, answer this:

Date of organization: _____

Is partnership general or limited? _____

Name and address of Partners: _____

7.c. If a Limited Liability Company, answer this:

Date of Organization? _____

Where Organized? _____

Is the LLC member managed or manager managed? _____

If member managed, identify the member? If manager managed, identify the manager:

_____.

Name and title of Officers if any:

8. Has there been any change in ownership of the firm at any time during the last three years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes No

If yes please explain (next page):

9. Is the firm a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No

If yes please explain:

10. Are any corporate officers, partners or owners connected to any other construction firms.

NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

Yes No

If yes please explain:

11. Has your firm changed names in the past five years?

Yes No

If yes please explain:

12. Has any owner, partner or (for corporations) officer of your firm operated a construction firm under any other name in the last five years?

Yes No

If yes please explain:

13. Is your firm currently the debtor in a bankruptcy case?

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number, and the date on which the petition was filed.

14. Was your firm in bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in answer to question 13, above)

Yes No

If "yes," please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court's discharge order, or of any other document that ended the case, if no discharge order was issued.

1.4 DISPUTES AND LEGAL

15. At any time in the last five years has your firm been assessed and/or paid liquidated damages after completion of a project under a construction contract with either a public or private owner?

Yes No

If yes please explain. Identify all such projects by owner, owner's address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

16. In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

Yes No

If yes please explain. Identify the year of the event, the owner, the project and the basis for the finding by the public agency:

NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than \$50,000.

17. In the past five years has any claim against your firm concerning your firm's work on a construction project been filed in court or arbitration?

Yes No

If yes please explain. Identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution):

18. In the past five years has your firm made any claim against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

Yes No

If yes please explain. Identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution):

19. Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

If yes please explain. Identify who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction:

20. Has any officer, director, member, owner, partner, or principal individual of Contractor ever been convicted of any anti-trust violation, or been debarred from performing work on any contract?

Yes No

If so, please state name of individual and reason for such action:

Date of reinstatement (if reinstated): _____

21. Has the EPA, NHDES or any other regulatory sewer, water, stormwater, or other Water Quality Body cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If yes please explain.

22. In the past 5 years, has the Contractor ever failed to complete any work awarded to it in the scheduled contract time, including approved time extensions?

Yes No

If so, where and why?

23. Has Contractor or any officer, director, member, owner or partner of Contractor ever ceased work on a project?

Yes No

If so, where and why?

1.5 BONDING, INSURANCE AND SAFETY

24. Submit bonding letter in accordance with Part 1.2 (Question #E). It shall be an unqualified letter from the bonding company to the effect that they will provide the required performance and payment bonds, each in the amount of 100 percent of the bid price (Engineers Opinion of Cost is \$2.9M for Cass Street and \$5.2M for Lincoln Area Contract #3B), should the Contractor be awarded the contract. Include limitations in bonding capacity, if any. Also provide name, contact, telephone number, and address of the following:

a. BANK

b. BONDING
COMPANY*

c. AGENT

* Bonding company must be registered and licensed to do business in the State of New Hampshire

25. If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

26. List all other sureties (name and full address) that have written bonds for your firm during the last five years:

27. Has Contractor or any officer, director, member, owner or partner, or principal individual of Contractor ever had a performance bond collected upon?

Yes No

If so, please explain:

28. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

Yes No

If yes, provide details indicating the date when your firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.

29. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

Yes No

If yes please explain. Name the insurance carrier, the form of insurance and the year of the refusal:

30. Within the last five years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes No

If yes please explain the reason for the absence of workers' compensation insurance. If No, please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation

insurance coverage for the period that your firm has been in the construction business.)

31. Has any State (NH, ME, MA, VT) or Federal OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No

If yes describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

32. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

33. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

Yes No

If yes, describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

If yes please explain.

1.6 FINANCIAL RECORDS AND INFORMATION

- 34. If requested by the City during review of the pre-qualification statement, the contractor shall submit their most recent audited or reviewed financial statements.

- 35. Identify all persons or entities that hold 25% or more of the Contractor's total notes receivable and indicate that person's or entity's relationship, if any, with the Contractor other than as debtor and the percentage for each.

NAME	RELATIONSHIP	PERCENTAGE

- 36. Identify all persons or entities that hold 25% or more of the Contractor's total notes payable, and indicate that person or entity's relationship, if any, with Contractor other than as a creditor and the percentage for each.

NAME	RELATIONSHIP	PERCENTAGE

PREPARED BY:

Name of Individual Accountant or Officer

Complete Address of Accounting Firm (w/ zip code)

(Area Code) Telephone Number

1.7 PERSONNEL, SUPPLIERS AND RELATED EXPERIENCE

37. Employment record of principal individuals of Contractor, including those personnel responsible for preparation of bid proposals. Also include records of individuals who will be your field superintendents on proposed work in Portsmouth, New Hampshire. (Attach resumes if more space needed)

Individual's Name	Present Position	Years of Construction Experience	Magnitude and Type of Work	In What Capacity

38. Give names, contact, telephone numbers, and complete addresses of major material suppliers and/or subcontractors with whom Contractor has done business in past five (5) years:

41. Current Workload: List the construction projects your organization has underway on this date (use additional paper if necessary):

Project Name: _____ **Type of Work:** _____
Contract Amount: _____ **% Complete:** _____ **% Sublet** _____
Expected Completion Date: _____ **Engineer name and phone:** _____
Owners Name, Address, and Phone # _____

Project Name: _____ **Type of Work:** _____
Contract Amount: _____ **% Complete:** _____ **% Sublet** _____
Expected Completion Date: _____ **Engineer name and phone:** _____
Owners Name, Address, and Phone # _____

Project Name: _____ **Type of Work:** _____
Contract Amount: _____ **% Complete:** _____ **% Sublet** _____
Expected Completion Date: _____ **Engineer name and phone:** _____
Owners Name, Address, and Phone # _____

Project Name: _____ **Type of Work:** _____
Contract Amount: _____ **% Complete:** _____ **% Sublet** _____
Expected Completion Date: _____ **Engineer name and phone:** _____
Owners Name, Address, and Phone # _____

42. List all municipal, State or Federal contracts your company has worked on in the past 3 years greater than \$200,000: _____

43. The Engineer's opinion of cost for the total construction project is \$2.9M for Cass Street Area and \$5.2M for Lincoln Area Contract #3B to 5 Million (See work area in Part 2). Work includes sewer interceptors, drain interceptors, water mains, road improvements, traffic control, etc.

a) Please identify an anticipated schedule for the work assuming a start time of April 2012. Lincoln Area Contract #3B must be completed by June 1, 2013 and Cass Street must be completed by June 1, 2013. Also note, any circumstances that would permit the work to be complete under an expedited schedule.

- b) Please prepare at least 4 project data sheets for similar projects completed within the last 5 years:

Project Data Sheet #1

Project Name: _____
Project Location: _____
Date Completed: _____ Duration: _____
Value of Contract at Completion: _____ Unit Price (y/n) _____
Funding sources: _____
Owner Name: _____ Telephone: _____
Address: _____
Business Name and Address of entity which constructed and managed this project:

Engineer: _____ Contact Name: _____ Phone: _____
Please note special project conditions that are similar in nature (Use additional sheets if necessary):

Sewer Interceptors (including deep cuts & ledge).

Water Distribution Mains (including Temporary Water):

Roadwork, Curb and Sidewalk, (including work in densely populated and/or historic neighborhoods):

Other (traffic management, property restoration and public relations):

Project Data Sheet #2

Project Name: _____
Project Location: _____
Date Completed: _____ Duration: _____
Value of Contract at Completion: _____ Unit Price (y/n) _____
Funding sources: _____
Owner Name: _____ Telephone: _____
Address: _____
Business Name and Address of entity which constructed and managed this project: _____

Engineer: _____ Contact Name: _____ Phone: _____
Please note special project conditions that are similar in nature (Use additional sheets if necessary):

Sewer Interceptors (including deep cuts & ledge).

Water Distribution Mains (including Temporary Water):

Roadwork, Curb and Sidewalk, (including work in densely populated and/or historic neighborhoods):

Other (traffic management, property restoration and public relations):

Project Data Sheet #3

Project Name: _____
Project Location: _____
Date Completed: _____ Duration: _____
Value of Contract at Completion: _____ Unit Price (y/n) _____
Funding sources: _____
Owner Name: _____ Telephone: _____
Address: _____
Business Name and Address of entity which constructed and managed this project:

Engineer: _____ Contact Name: _____ Phone: _____
Please note special project conditions that are similar in nature (Use additional sheets if necessary):

Sewer Interceptors (including deep cuts & ledge).

Water Distribution Mains (including Temporary Water):

Roadwork, Curb and Sidewalk, (including work in densely populated and/or historic neighborhoods):

Other (traffic management, property restoration and public relations):

Project Data Sheet #4

Project Name: _____
Project Location: _____
Date Completed: _____ Duration: _____
Value of Contract at Completion: _____ Unit Price (y/n) _____
Funding sources: _____
Owner Name: _____ Telephone: _____
Address: _____
Business Name and Address of entity which constructed and managed this project:

Engineer: _____ Contact Name: _____ Phone: _____
Please note special project conditions that are similar in nature (Use additional sheets if necessary):

Sewer Interceptors (including deep cuts & ledge).

Water Distribution Mains (including Temporary Water):

Roadwork, Curb and Sidewalk, (including work in densely populated and/or historic neighborhoods):

Other (traffic management, property restoration and public relations):

1.8 REFERENCES

44. Name, contact person, telephone number, and address of three (3) **municipal officials** (not employed by Contractor) involved in current contracts or contracts completed in the last five (5) years who can attest to the character, integrity, reputation, judgment, experience, and efficiency of the Contractor.

a. _____

b. _____

c. _____

45. Name, contact person, telephone number, and address of three (3) **engineers** (not employed by Contractor) involved in current contracts or contracts completed in the last five (5) years who can attest to the character, integrity, reputation, judgment, experience, and efficiency of the Contractor.

d. _____

e. _____

f. _____

46. Names and addresses of all governmental entities who have determined Contractor qualified for the work classification requested herein and all who have determined Contractor not qualified in the last five (5) years.

a. Qualified	b. Not Qualified

Nothing construed herein shall limit the City, or its authorized representatives, from contacting any and all former owners/clients, material suppliers, Engineers and other third parties with knowledge of the Contractor's history of performance, practices and reputation. Additionally, the city will also consider their own past experience with the Contractor as a basis for prequalification and will include any recent history that will help determine the Contractor's Qualifications for his project. The existence of Release and Hold Harmless

Statements directed to individual references as described above shall not be presumed to void or nullify the hold harmless language set forth in this document and included in Part 1.9.

Notes:

It is understood and agreed that all responses from references and others obtained by the City of Portsmouth, or its authorized representatives, shall be deemed confidential.

If a Contractor receives negative references and the City has determined the Contractor not qualified based on such references, the City or its authorized representatives, upon written request by the Contractor, will provide a summary of the nature of those negative references without identifying the party providing such reference.

A Pre-Qualification Selection Committee of individuals acting on behalf of the Owner will review prepared statements submitted by the Contractor and references. A scoring sheet will be used to tabulate the information, and Contractor Pre-Qualification will be based on meeting threshold requirements identified by the Committee.

1.9 CONTRACTOR'S PREQUALIFICATION STATEMENT

The undersigned, on behalf of the Contractor, certifies that the Contractor has not either directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with any contract on any municipal, state, or federal project.

I swear that all the statements herein contained, including the declaration of ownership and organization, the financial information, current workload, and the record of experience have been examined by me, and to the best of my knowledge and belief are true and correct.

On behalf of Contractor, I hereby authorize the City of Portsmouth, or its authorized representatives, to make such investigation, inquiry, checks and tests as the City, in its sole discretion, deems necessary to attempt to ascertain Contractor's qualifications.

On behalf of Contractor, I hereby waive any and all claims, and release and hold harmless any person(s) who provides the City, or its authorized representatives, with information or opinions held in good faith.

Signed _____

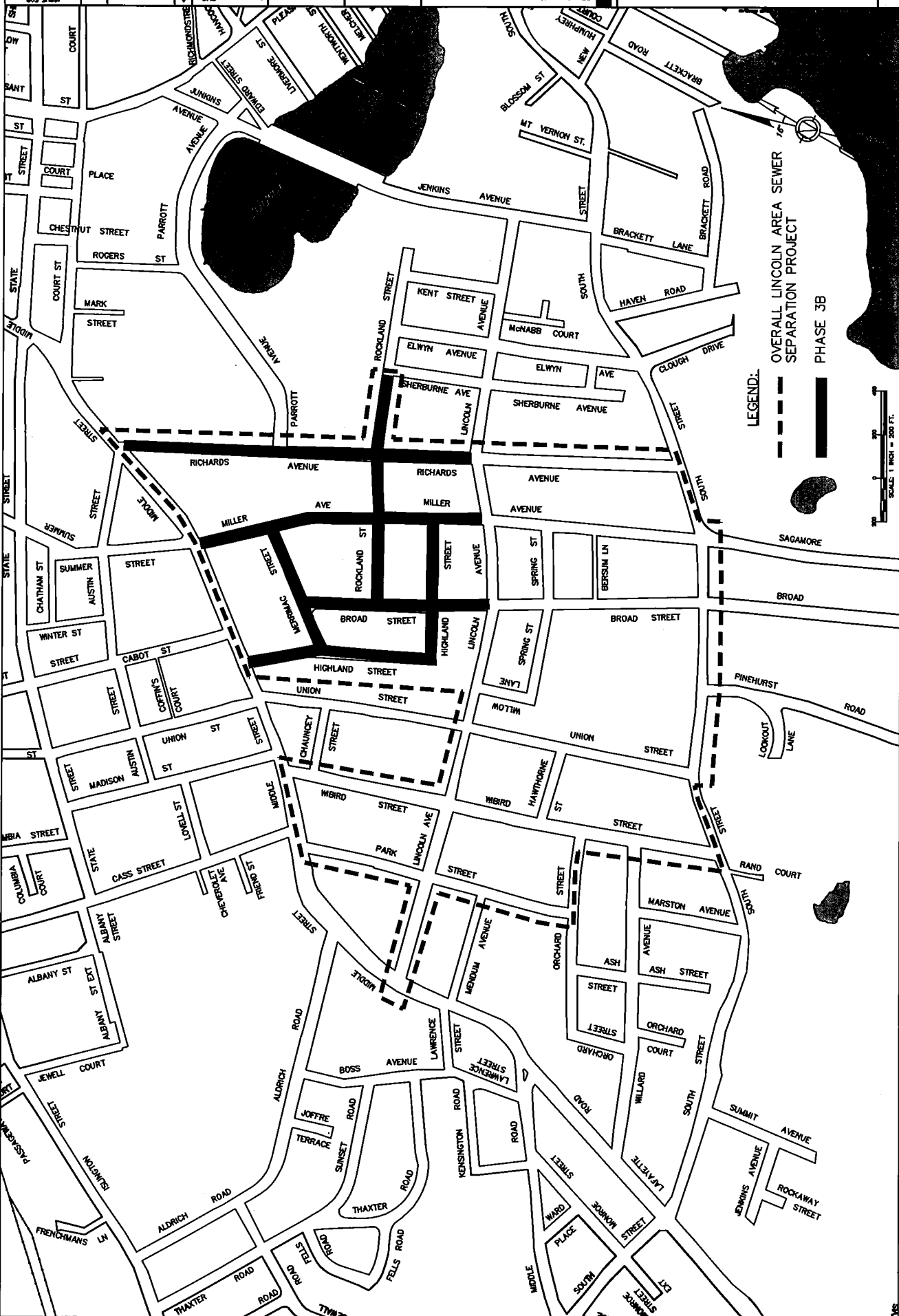
Title _____

Subscribed and sworn to before me this _____ day of _____, 20__

Notary Public or Justice of the Peace

NOTE: Contractor's Prequalification statement must be accompanied by:
- Letter from bonding company
- Only if requested, an audited financial report (or Balance Sheet provided in Part III).

PART 2 – LINCOLN AVENUE AREA SEWER SEPARATION CONTRACT 3B / CASS STREET AREA OVERVIEW MAP



Date: _____ Drawn: _____ Checked: _____ Approved: _____ Project No: _____ Issue No: _____ Scale: _____ Date: _____ Approved: _____ Project No: _____ Issue No: _____ Scale: _____ Date: _____	Underwood Engineers, Inc. 25 VANDERBILT, PORTSMOUTH, NH 03801 TEL: 603-336-8102 FAX: 603-431-4733	CITY OF PORTSMOUTH LINCOLN AREA SEWER SEPARATION CASS ST. AREA SEWER IMPROVEMENTS
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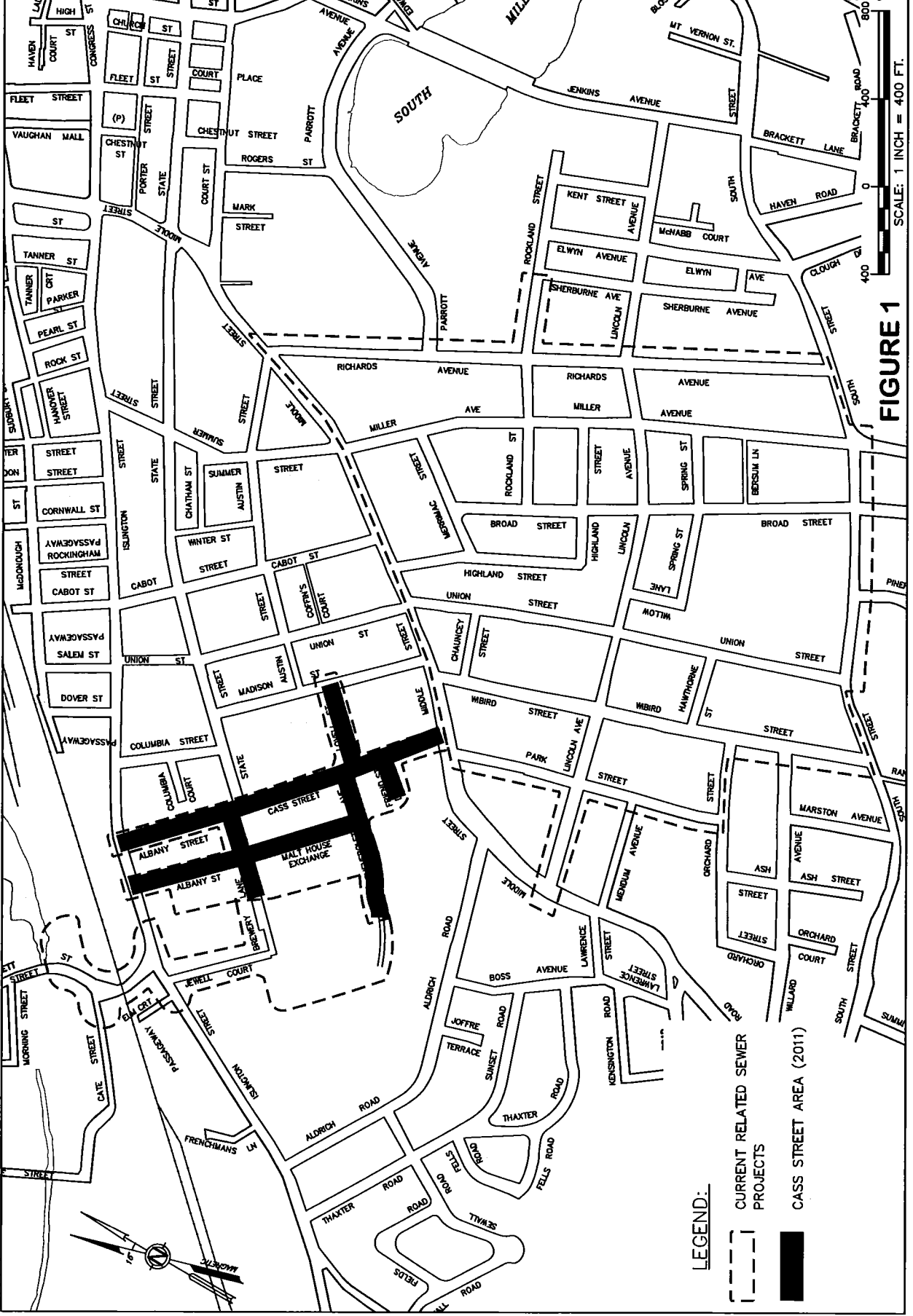


FIGURE 1

LEGEND:
 - - - - - CURRENT RELATED SEWER PROJECTS
 ■■■■■ CASS STREET AREA (2011)

PART 3 – FINANCIAL BALANCE SHEET

The attached is not required unless specifically requested by the City during review of the prequalification submission.

ASSETS

- a. Cash on hand and in banks _____

- b. Notes receivable
 - (1) Due within one year _____
 - (2) Due after one year _____

- c. Accounts Receivable
 - (1) From completed contracts _____
 - (2) From incomplete contracts (Eng.Est) _____
 - (3) From others _____
 - (4) Retainages _____

- d. Inventory materials in stock not included in Item c. (2) _____

- e. Deposits for bids or otherwise as guarantees _____

- f. Prepaid expenses _____

- g. Interest accrued on loans, securities, etc. _____

- h. Cash value of life insurance _____

- i. Stocks and bonds
 - (1) Listed _____
 - (2) Unlisted _____

- j. Investments, subsidiaries or affiliates _____

k. Fixed Assets

- Machinery & equipment
- Autos & trucks
- Land
- Buildings
- Furniture & Fixtures
- Fixed assets Retired

Cost	Accum. Deprec.	Book Value

Total fixed assets book value _____

l. Other assets (list) _____

TOTAL ASSETS _____

LIABILITIES AND EQUITY

- a. Notes payable (1) Due within one year _____
(2) Due after one year _____
- b. Accounts payable _____
- c. Equipment lease (rental) purchase agreements _____
- d. Amount owed on equipment (1) Due within one year _____
(2) Due after one year _____
- e. Real estate encumbrances (1) Business _____
(2) Personal _____
- f. Federal income tax (1) Due within one year _____
(2) Reserves _____
- g. State business tax (1) Due within one year _____
(2) Reserves _____
- h. Other liabilities (List) _____

i. Deferred income (completed contract amount) _____

TOTAL LIABILITIES _____

j. Equity

Capital stock paid up _____

Additional paid in capital _____

Retained earnings _____

TOTAL EQUITY _____

TOTAL EQUITY AND TOTAL LIABILITIES _____

PART 4 – INFORMATION UPDATE

The following form is required from Contractors who were prequalified for the Lincoln Area Sewer Separation Contract #3A Sewer Improvements Project and would like to remain prequalified for Contract #3B/Cass Street Area Sewer Improvements Projects. This applies only to the following seven (7) contractors:

- 1. Albanese Brothers, Inc.**
*Attn: Marcella Albanese
PO Box 518
Dracut MA 01826*

- 2. Albanese D&S, Inc.**
*Attn: Marcella Albanese
28 Loon Hill Road
Dracut MA 01826*

- 3. H.E. Sargent Corp.**
*Attn: Steve Perry
378 Bennoch Road
Stillwater ME 04489*

- 4. Methuen Construction**
*Attn: Leon C. Asadoorian
40 Lowell Road
Salem NH 03079*

- 5. Park Construction Corp.**
*Attn: Glynda Churchill
P.O. Box 600
Fitzwilliam NH 03447*

- 6. Severino Trucking Co.**
*Attn: Tom Severino
P.O. Box 202
Candia NH 03034*

- 7. S.U.R. Construction, Inc.**
*Attn: Jason deWildt
233 Chestnut Hill Road
Rochester, NH 03867*

**Information Update
Prequalification Statement
Portsmouth, New Hampshire**

**Lincoln Avenue Area Sewer Separation Contract #3B
Cass Street Area Sewer Improvements**

This form is to be used by Contractors who have been prequalified for the Lincoln Area Sewer Separation Contract 3A and would like to remain prequalified for Lincoln Area Sewer Separation - Contract #3B and Cass Street Area Sewers. The City of Portsmouth reserves the right to remove a contractor from the prequalified list for reasons that are in the best interest of the City. If a Contractor previously prequalified by the City elects to submit a new full submission, this form is not necessary.

Name: _____ Date _____

Contractor: _____

Address: _____

I _____ being a duly authorized officer of _____ (hereinafter Contractor) certify that to the best of my knowledge the prequalification information provided to the City of Portsmouth for the Lincoln Area Sewer Separation – Contract 3A has not changed substantially, except as noted below:

Note: If significant changes have occurred a full submission will be required at the discretion of the Owner.

We also offer the following to update our prequalification information.

Letter from Bonding company indicating that we can provide the appropriate bonds as required by the City.

1. Current workload under contract \$_____.

2. We have not defaulted on a contract in the last three (3) years.

3. Total Billings

2009 \$_____

2010 \$_____

2011 \$_____ (Projected)

4. Related projects completed within the last four (4) years (provide contacts or references that can attest to Contractor's performance):

Date:_____

By:_____

Print Name:_____

Title:_____

Contractor:_____

Notary:_____